

1 provision in the New York Criminal Code mandated that any
2 subsequent repetition of that misdemeanor conduct (possession
3 of burglary tools) by Sibron would thereafter be treated as a
4 felony. 392 U.S. at 56 & at 48 fn. 5. That kind of specific
5 legislative penalty enhancement is not present in this case. In
6 contrast, the mere speculative possibility that "the sentence
7 imposed in a future criminal proceeding, could be affected" not
8 only by the underlying conduct (which a federal judge is always
9 free to consider, see 18 U.S.C. § 3577), but additionally by the
10 judgment of conviction, was recently reconsidered in Lane v.
11 Williams, 455 U.S. 624, 632 (1982) and rejected over Justice
12 Marshall's dissent on that very point, 455 U.S. at 637.

13 Furthermore, the record in this case shows that this
14 conviction is not within the Sibron rule because it is not like
15 "most criminal convictions" which we readily concede ordinarily
16 entail adverse consequences. Most criminal convictions,
17 however, either involve a felony with its concomitant loss of
18 civil rights, or involve moral turpitude, or are malum in se, or
19 involve statutory crimes which have not long ago been
20 legislatively repealed and discredited. They do not commonly
21 involve situations where the defendant marches into the police
22 station demanding to be arrested for a regulatory violation in
23 order to test its constitutionality in the Supreme Court.

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1 3. Petitioner has the burden to rebut the presumption of
2 regularity and to prove intentional government misconduct prior
3 to conviction rendering his misdemeanor curfew violation
4 irregular and void and precluding affirmance of his conviction
5 on any ground.

6 The petitioner has the "heavy burden" of rebutting the
7 presumption that the challenged proceedings were correct.
8 United States v. Darnell, 716 F.2d at 481 n.5. See also INS v.
9 Miranda 459 U.S. 14, 18 (1982) (presumption of regularity).
10 Further, he must "demonstrate that but for fundamental errors
11 committed a more favorable judgment would have been rendered."
12 Id. The Supreme Court stated in United States v. Frady, 456
13 U.S. 152, 166 (1982), that "to obtain collateral relief a
14 [petitioner] must clear a significantly higher hurdle than would
15 exist on direct appeal," that is, the petitioner must satisfy
16 the "cause and actual prejudice" standard. The controlling
17 caselaw in this Circuit requires that coram nobis petitions be
18 resolved in the same manner as habeas corpus proceedings.
19 United States v. Taylor, 648 F.2d 565, 573 n. 25 (9th Cir.),
20 cert. denied, 454 U.S. 866 (1981). Engle v. Isaac, 456 U.S. 107
21 (1982), clearly applies to similarly situated habeas corpus
22 petitioners in this Circuit. Leiterman v. Rushen, 704 F.2d 442,
23 444 (9th Cir. 1983) (on habeas corpus, Engle v. Isaac requires
24 "actual prejudice," i.e., some "causal nexus" between even a
25 "massive [governmental] violation of due process" and the
26 conviction); Magby v. Wawrzaszek, 741 F.2d 240, 244 (9th Cir.

1 1984) (on habeas corpus, petitioner who has shown no "cause"
2 cannot raise a Miranda claim if he failed to raise the challenge
3 at trial and had "the tools" to do so). Furthermore, the
4 "cause" and "actual prejudice" tests must be satisfied
5 independently and sequentially. Engle v. Isaac, 456 U.S. 107,
6 134 n. 43 (1982); United States ex rel. Devine v. DeRobertis,
7 754 F.2d 764, 768 (7th Cir. 1985) (on habeas corpus, the Court
8 need not reach "actual prejudice" unless the petitioner has
9 first established "cause"); Palmes v. Wainwright, 725 F.2d 1511,
10 1525-1526 (11th Cir.), cert. denied, 105 S.Ct. 227 (1984)
11 (same); Williams v. Duckworth, 724 F.2d 1439, 1443 (7th Cir.),
12 cert. denied, 105 S.Ct. 143 (1984) (same).

13 In addition, the doctrine of res judicata bars the
14 petitioner from reopening his case simply to relitigate issues
15 because his decision to disobey the statute in 1942 might have
16 been more favorably treated in 1983. The Supreme Court in
17 Federated Department Stores, Inc. v. Moitie, 452 U.S. 394,
18 401-02 (1981), stated in words equally applicable here :

19 This court has long recognized that "[p]ublic policy
20 dictates that there be an end of litigation; that those who
21 have contested an issue shall be bound by the result of the
22 contest, and that matters once tried shall be considered
23 forever settled as between the parties." Baldwin v.
24 Traveling Men's Assn., 283 U.S. 522, 525 (1931).

25 The Court also stated, 452 U.S. at 398:

26 . . . the res judicata consequences of a final . . .
judgment on the merits [are not] altered by the fact that
the judgment may have been wrong or rested on a legal
principle subsequently overruled in another case. Angel v.
Bullington, 330 U.S. 183, 182 (1947); Chicot County Drainage
District v. Baxter State Bank, 308 U.S. 371 (1940); Wilson's
Executor v. Dean, 121 U.S. 525, 534 (1887).

1 Current case law may or may not divest petitioner's Supreme
2 Court decision (and the Mitchell v. Harmony, 54 U.S. (13 How.)
3 115, 134-5 (1851) line of cases) of any current stare decisis
4 effect. But such subsequent rulings cannot redecide the earlier
5 case for the particular individual who litigated and lost that
6 earlier case. 3/

7 The recent opinion in Korematsu v. United States, 584 F.
8 Supp. 1406 (N.D. Cal. 1984) ignored these impediments to coram
9 nobis relief for two reasons. That court concluded that the
10 government had waived various jurisdictional objections which
11 that court implicitly held were waivable. (Exhibit 1 of
12 Government's Exhibits to its Supplemental Points and Authorities
13 (hereinafter "G.Ex.")). Those factual and legal circumstances
14 -- even if true in that case (which we deny) -- are not true
15 here. In addition, the Korematsu court stated that the Ninth
16 Circuit decision in United States v. Taylor, 648 F.2d 565 (9th
17 Cir.), cert. denied, 454 U.S. 866 (1981) permitted a district

18 _____
19 3/ Since petitioner argues that the Supreme Court erroneously
20 decided the issues in his case, a reappraisal of those old legal
21 rulings would necessarily constitute "a clear break with the
22 past" and would have been nonretroactive. United States v.
23 Johnson, 457 U.S. 537, 549 (1982). Thus, petitioner's
24 conviction would not have been vulnerable to collateral attack
25 on these grounds alleging "new case law" even if another
26 litigant (such as Korematsu) not barred by the doctrine of res
judicata had succeeded in overturning the Hirabayashi case law in
the very next term.

In other words, even if United States v. Korematsu, 323 U.S.
214 (1944) had been decided differently, that would not have
helped this petitioner.

1 court to grant coram nobis relief even when "arguable prejudice"
2 is not shown, therefore creating an exception to the
3 well-established contrary rule laid down in United States v.
4 Morgan, 346 U.S. 502 (1954) (G. Ex. 1, p.26).

5 The opinion in Korematsu misreads the Taylor opinion. The
6 Taylor court stated that "...we address solely the issue of
7 whether Taylor has demonstrated that he is entitled to a hearing
8 and do not decide whether relief is warranted." 648 F.2d at
9 570. Taylor went on to cite Morgan and restate the general rule
10 that coram nobis relief is only available "to correct
11 errors of fact of such fundamental character as to render the
12 proceeding itself irregular and invalid" Id. at n.14. Then the
13 court stated that "Taylor's claim gives rise to the somber
14 prospect that the Government committed a fraud on the court
15 which ultimately worked a great prejudice to Taylor's case." 648
16 F.2d at 571 (emphasis added). In that context -- where great
17 prejudice to the petitioner's case was at issue -- the Taylor
18 court stated that a hearing on a coram nobis petition (not
19 ultimate relief) could be premised on allegations of
20 prosecutorial misconduct.

21 All of these predicates to the Taylor language were ignored
22 by the Korematsu court which improperly relied on language in
23 the opinion -- taken out of context -- as the basis for a new
24 Ninth Circuit exception to the Morgan rule allowing ultimate
25 coram nobis relief (not simply a hearing), when no actual
26 prejudice has been proved. Moreover, even if this conclusion

1 were not contrary to the intent of the Taylor court, see 648
2 F.2d at 570 & n.14, it runs contrary to Morgan itself, as well
3 as United States v. Hasting, 103 S.Ct. 1974 (1983) and United
4 States v. Morrison, 449 U.S. 361, 365-367 (1981).

5 4. There is no obligation upon the government to initiate
6 this collateral proceeding on petitioner's behalf.

7 Finally, even assuming arguendo that the government newly
8 discovered some exculpatory material relevant to petitioner's
9 case after his conviction had been affirmed by the Supreme
10 Court, the government has no obligation to initiate this
11 collateral proceeding on petitioner's behalf. Petitioner has
12 cited no authority that the government must initiate
13 collateral attacks whenever some evidence comes to light which
14 might arguably be exculpatory.

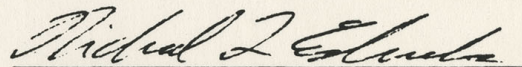
15 Respectfully submitted,

16 *Richard L. Edwards*

17 Victor D. Stone
18 Richard L. Edwards
19 General Litigation and
20 Legal Advice Section
21 Criminal Division
22 U.S. Department of Justice
23 P.O. Box 887, Ben Franklin Station
24 Washington, D.C. 20044-0887
25 (FTS or 202) 724-7000
26

CERTIFICATE OF SERVICE

I certify that I served by Express Mail upon Rodney Kawakami, counsel for petitioner, a copy of the Government's Memorandum of Law, this 8th day of June, 1985.



RICHARD L. EDWARDS
Counsel for Respondent
Criminal Division
U.S. Department of Justice
P.O. Box 887
Ben Franklin Station
Washington, D.C. 20004